## STATEMENT CLAIMING SMALL ENTITY STATUS

is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON

Signature of Person

Date

**DOCKET NUMBER: 3912.1000-000** 

(37 CFR 1.27(a)--PERSON

Applicant or Patentee: Danilo Porro, Paola Branduardi, Minoska Valli and Lilia Alberghina
Application or Patent No.: 10/534,171
PCT Filing Date: November 6, 2003
Title: PROCESS FOR EXPRESSION AND SECRETION OF PROTEINS BY THE NON-CONVENTIONAL YEAST
ZYGOSACCHAROMYCES BALII
I hereby state that I qualify as a person as defined in 37 CFR 1.27(a)(1) for purposes of paying reduced fees to the Patent and Trademark Office described in:
[ ] the specification filed herewith with title as listed above.
[X] the application identified above.
[ ] the patent identified above.
I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to one or more parties who would not qualify as a person under 37 CFR 1.27(a)(1) or to any concern which would not qualify a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).
Each additional person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:
[X] no such person, concern, or organization exists.
[ ] each such person, concern, or organization is listed below.
Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities.
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity

status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity